

Zug, January 20<sup>th</sup>, 2026

## STOXX Equity Index files - STOXX to implement new Index Reports to comply with Third-Party licensing as of April 27<sup>th</sup>, 2026.

Dear Customer,

STOXX Ltd., the operator of the ISS STOXX index business and a global provider of innovative and tradable index concepts, today announced upcoming changes to the STOXX Index files to ensure compliance with **ISIN identifiers subject to CUSIP Global Services Third-Party Data License** by **April 27<sup>th</sup>, 2026**.

As a result, STOXX Ltd., will introduce Index File names containing "P002" and "P003" in its Index Reportings framework to allow further flexibility for STOXX clients related to **ISIN identifiers subject to CUSIP Global Services Third-Party Data License**.

- **Clients with a valid CUSIP Global Services Third-Party Data License** and approved / confirmed to STOXX by CUSIP Global Services **are not affected** by the content of this Technical Information.
- **Clients with no valid CUSIP Global Services Third-Party Data License are required to take action** based on the content of this Technical Communication.
- **As of May 1<sup>st</sup>, 2026, the Index Selection Lists** applicable to DAX, STOXX, and iSTOXX indices which are **publicly available** on [www.stoxx.com](http://www.stoxx.com) **will no longer include ISIN information**.

### Content of the Technical Information Announcement

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### STOXX Third-Party Data Permissioning

# Technical Information

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## Identified Third-Party Data requesting a License

As of April 27<sup>th</sup>, 2026, STOXX clients will have access to the relevant "PXXX" files in line with the Third-Party Data Licenses as described in the table hereafter.

File name Sample	Description of data	Permissioning
components_P000_XXXX.csv	The file includes all reference data	Accessible to Clients with a <b>valid</b> SEDOL and CUSIP license
components_P001_XXXX.csv	The file includes reference data except for SEDOL code	Accessible to Clients with a <b>valid</b> CUSIP and <b>no</b> SEDOL license
components_P002_XXXX.csv	The file includes reference data except for CUSIP code	Accessible to Clients with a <b>valid</b> SEDOL and <b>no</b> CUSIP license
components_P003_XXXX.csv	The file includes reference data except for SEDOL code and CUSIP codes	Accessible to Clients with <b>no</b> SEDOL and CUSIP license

- “**SEDOL license**” refers to **SEDOL identifiers subject to LSEG Third-Party Data License**
- “**CUSIP license**” refers to **ISIN identifiers subject to CUSIP Global Services Third-Party Data License**

Only clients with a valid Third-Party Data License (subject to verification by the respective Third-Party Provider and confirmed to STOXX) will be granted access to the data and will see no change in their existing permissioning to access STOXX Index Files. Clients are strongly recommended to check or obtain a valid Third-Party Data license as they could be affected by a sudden change of the STOXX Index file Permissioning, which could impact the integration in their respective internal systems of any Index Files published by STOXX Ltd.

As a reminder, the STOXX Index File permissioning is subject to:

- regular verification by the Third-Party Data Provider.
- Third-Party Data Provider instruction to ISS STOXX to retain or amend the access to the full datasets, including ISIN identifiers subject to CUSIP Global Services Third-Party Data License.

## Background information related to ISIN identifiers subject to CUSIP Global Services Third-Party Data License

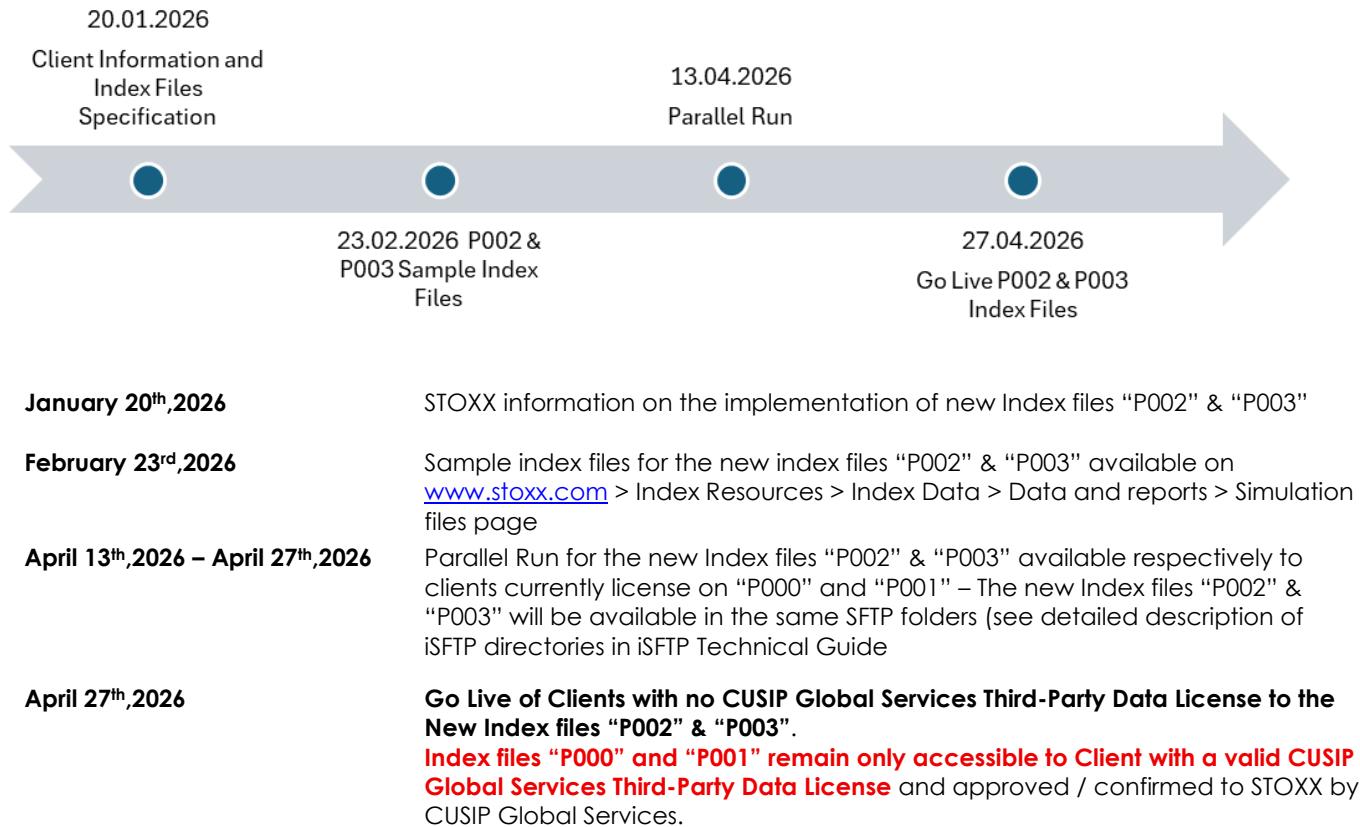
STOXX provides hereafter an extract of CUSIP Global Services public information regarding ISIN identifiers subject to CUSIP Global Services Third-Party Data License.

*The International Securities Identification Number (ISIN) is a unique global code that identifies instruments to facilitate cross-border trading. There are currently over 120 recognized National Numbering Agencies (NNAs) throughout the world which are responsible for the assignment of ISINs in their respective countries. CGS is responsible for the assignment of ISINs in the U.S. and in other areas where designated or appointed. CGS has agents in countries such as Canada, Bermuda and the Cayman Islands and is also the representative agency for many countries in the Caribbean and Pacific region.*

For the convenience of STOXX Clients, an extract of the country code affecting **ISIN identifiers subject to CUSIP Global Services Third-Party Data License**, is available in **Appendix to this communication**. If you wish to check your entitlement regarding **ISIN identifiers subject to CUSIP Global Services Third-Party Data License**, please contact the relevant Third-Party Data Provider (contact details: [license@cusip.com](mailto:license@cusip.com)) to arrange the required license. Once confirmed, ISS STOXX will update your access accordingly.

## Timeline of the changes

STOXX provides hereafter the planned roadmap for the use of new Index File "P002" and "P003" by its clients and the implementation of permissioning of affecting **ISIN identifiers subject to CUSIP Global Services Third-Party Data License**.



## Introduction to New File Set of P002 and P003 reflecting CUSIP Third-Party Data License

### Overview of the ISIN identifiers under CUSIP Global Services Third-Party Data license segregation

The new set of files, with **the file name containing "P002" and "P003" will exclude ISIN identifiers subject to CUSIP Global Services Third-Party Data License**. The list of affected ISIN identifiers subject to CUSIP Third-Party License is defined in appendix of this communication.

- P002 contains securities identifiers for which there is a valid SEDOL Third-Party Data license and no valid CUSIP Third Party Data license.** Please note that the field referencing the SEDOL identifiers will contain data, while the field referencing ISIN codes will be partially filled as follow:

- o ISIN codes which do not contain CUSIP code will be available
- o ISIN codes which do contain CUSIP code will not be available

- P003 contains securities for which there is no valid SEDOL Third-Party Data license and no valid CUSIP Third Party Data license.** Please note that the field referencing the SEDOL identifiers will be empty, while the field referencing ISIN codes will be partially filled as follows:

- o ISIN codes which do not contain CUSIP code will be available
- o ISIN codes which do contain CUSIP code will not be available

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## List of affected Index files and file naming convention

The table below displays the impact for clients when retrieving the new set of files, depending on their partial or non-subscription to Third-Party Data Licenses, as described in the "STOXX Third-Party Data Permissioning" section of this Technical Information.

File Type	Current file	New file Available
Index Calculation Files	closecomposition_xxxx_YYYYMMDD.csv	closecomposition_P002_xxxx_YYYYMMDD.csv closecomposition_P003_xxxx_YYYYMMDD.csv
	opencomposition_xxxx_YYYYMMDD.csv	opencomposition_P002_xxxx_YYYYMMDD.csv opencomposition_P003_xxxx_YYYYMMDD.csv
	components_P000_xxxx_YYYYMMDD.csv	components_P002_xxxx_YYYYMMDD.csv
	components_P001_xxxx_YYYYMMDD.csv	components_P003_xxxx_YYYYMMDD.csv
Corporate Actions	corporateactions_xxxx_YYYYMMDD.csv	corporateactions_P002_xxxx_YYYYMMDD.csv corporateactions_P003_xxxx_YYYYMMDD.csv
	CAForecast_xxxx_YYYYMMDD.csv	CAForecast_P002_xxxx_YYYYMMDD.csv CAForecast_P003_xxxx_YYYYMMDD.csv
	CCAForecast_P000_xxxx.csv	CCAForecast_P002_xxxx.csv
	CCAForecast_P001_xxxx.csv	CCAForecast_P003_xxxx.csv
	CCAForecast_P000_xxxx_YYYYMMDD.csv	CCAForecast_P002_xxxx_YYYYMMDD.csv
	CCAForecast_P001_xxxx_YYYYMMDD.csv	CCAForecast_P003_xxxx_YYYYMMDD.csv
Free Float File	preliminaryfloats_P000_YYYYMMDD.csv	preliminaryfloats_P002_YYYYMMDD.csv
	preliminaryfloats_P001_YYYYMMDD.csv	preliminaryfloats_P003_YYYYMMDD.csv
Foreign Ownership Adjusted Free Float File	STOXXforadjustedfreefloat_P000_YYYYMMDD.csv	STOXXforadjustedfreefloat_P002_YYYYMMDD.csv
	STOXXforadjustedfreefloat_P001_YYYYMMDD.csv	STOXXforadjustedfreefloat_P003_YYYYMMDD.csv
Group Entity File	STOXXGroupEntity_P001_YYYYMMDD.csv	STOXXGroupEntity_P002_YYYYMMDD.csv
	STOXXGroupEntity_P000_YYYYMMDD.csv	STOXXGroupEntity_P003_YYYYMMDD.csv
Selection List	psl_xxxx_YYYYMMDD.csv	psl_P002_xxxx_YYYYMMDD.csv psl_P003_xxxx_YYYYMMDD.csv
	sl_xxxx_YYYYMMDD.csv	sl_P002_xxxx_YYYYMMDD.csv sl_P003_xxxx_YYYYMMDD.csv
	sl_P000_xxxx_YYYYMMDD.csv	sl_P002_xxxx_YYYYMMDD.csv
	sl_P001_xxxx_YYYYMMDD.csv	sl_P003_xxxx_YYYYMMDD.csv
	psl_P000_xxxx_YYYYMMDD.csv	psl_P002_xxxx_YYYYMMDD.csv
	psl_P001_xxxx_YYYYMMDD.csv	psl_P003_xxxx_YYYYMMDD.csv
	sdd_P000_xxxx_YYYYMMDD.csv	sdd_P002_xxxx_YYYYMMDD.csv
	sdd_P001_xxxx_YYYYMMDD.csv	sdd_P003_xxxx_YYYYMMDD.csv
Index Review Files	qr_P000_xxxx_YYYYMMDD.csv	qr_P002_xxxx_YYYYMMDD.csv
	qr_P001_xxxx_YYYYMMDD.csv	qr_P003_xxxx_YYYYMMDD.csv
	mn_P000_xxxx_YYYYMMDD.csv	mn_P002_xxxx_YYYYMMDD.csv
	mn_P001_xxxx_YYYYMMDD.csv	mn_P003_xxxx_YYYYMMDD.csv
Index Review Daily Proforma	dp_P000_xxxx_YYYYMMDD.csv	dp_P002_xxxx_YYYYMMDD.csv
	dp_P001_xxxx_YYYYMMDD.csv	dp_P003_xxxx_YYYYMMDD.csv
Historical dividend	hdd_P000_xxxx_YYYYMMDD.csv	hdd_P002_xxxx_YYYYMMDD.csv
	hdd_P001_xxxx_YYYYMMDD.csv	hdd_P003_xxxx_YYYYMMDD.csv

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Dividend point	xxxx.txt	xxxx_P002.txt
		xxxx_P003.txt
	dvp_xxxx.csv	dvp_P002_xxxx.csv
		dvp_P003_xxxx.csv
Others	historical_components_xxxx.csv	historical_components_P002_xxxx.csv
		historical_components_P003_xxxx.csv
	historical_components_xxxx_YYYYMMDD.csv	historical_components_P002_xxxx_YYYYMMDD.csv
		historical_components_P003_xxxx_YYYYMMDD.csv
	roundtrip_xxxx_YYYYMMDD.csv	roundtrip_P002_xxxx_YYYYMMDD.csv
		roundtrip_P003_xxxx_YYYYMMDD.csv
	psoqxxxx_YYYYMMDD.txt	psoqxxxx_P002_YYYYMMDD.txt
		psoqxxxx_P003_YYYYMMDD.txt
	koxxxx_YYYYMMDD.txt	koxxxx_P002_YYYYMMDD.txt
		koxxxx_P003_YYYYMMDD.txt
	fscoxxxx_YYYYMMDD.txt	fscoxxxx_P002_YYYYMMDD.txt
		fscoxxxx_P003_YYYYMMDD.txt
	Sourcetime_FSV_xxxx_YYYYMMDD.csv	Sourcetime_FSV_P002_xxxx_YYYYMMDD.csv
		Sourcetime_FSV_P003_xxxx_YYYYMMDD.csv

For more information on the content of the Index Files, please refer to [Index Files Guide](#) available on <http://www.stoxx.com> > Home > Resources > General > Technical Documentation.

# Technical Information

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## File Mapping Sample from "P000" to "P002" for Clients with a valid SEDOL Third-Party Data license and no valid CUSIP Third Party Data license

For the convenience of our clients, STOXX provides a sample mapping between the "P000" and the new "P002" Index Files.

Index Files "P000"
General Information
stoxx_index_guide.pdf (Index Guide File)
STOXX_Equity_Index_Family_Benchmark_Statement.pdf (BMR File)
stoxx_equity_index_family_esg_reports.csv (ESG Report)
ESG Reporting (Part of Benchmark Statement )
esg_report_symbol_YYYYMMDD.csv
ESG_regulatory_methodology_SYMBOL.pdf
Review / Rebalancing Files
mn_P000_symbol_YYYYMMDD.csv
qr_P000_symbol_YYYYMMDD.csv
dp_P000_symbol_YYYYMMDD.csv
Selection Lists
slpublic_symbol_YYYYMMDD.csv
sl_P000_symbol_YYYYMMDD.csv
psl_P000_symbol_YYYYMMDD.csv
sdd_P000_symbol_YYYYMMDD.csv
Other Information
weights_matrix_symbol_YYYYMMDD.csv
turnover_symbol_YYYYMMDD.csv
historical_components_symbol.csv
roundtrip_symbol_YYYYMMDD.csv
Historical Data
Open Quotations (oqhbrbcpe.txt)
h_symbol.txt
Dividend Points
symbol.txt
Reference Data
components_P000_[symbol]_[YYYYMMDD].csv
corporateactions_[symbol]_[YYYYMMDD].csv
CAForecast_[symbol]_[YYYYMMDD].csv
CCAForecast_P000_[symbol]_[YYYYMMDD].csv
Opening / Intraday Data
psoq_YYYYMMDD.txt
psoq[symbol]_YYYYMMDD.txt
ko[symbol]_YYYYMMDD.txt
intradaysnapshots_symbol_YYYYMMDD.txt
fsco[symbol]_YYYYMMDD.txt
Composition Lists Opening Data (New)
opencomposition_symbol_YYYYMMDD.csv
Composition Lists Closing Data (New)
closecomposition_symbol_YYYYMMDD.csv



Index Files "P002"
General Information
stoxx_index_guide.pdf (Index Guide File)
STOXX_Equity_Index_Family_Benchmark_Statement.pdf (BMR File)
stoxx_equity_index_family_esg_reports.csv (ESG Report)
ESG Reporting (Part of Benchmark Statement )
esg_report_symbol_YYYYMMDD.csv
ESG_regulatory_methodology_SYMBOL.pdf
Review / Rebalancing Files
mn_P002_symbol_YYYYMMDD.csv
qr_P002_symbol_YYYYMMDD.csv
dp_P002_symbol_YYYYMMDD.csv
Selection Lists
slpublic_symbol_YYYYMMDD.csv
sl_P002_symbol_YYYYMMDD.csv
psl_P002_symbol_YYYYMMDD.csv
sdd_P002_symbol_YYYYMMDD.csv
Other Information
weights_matrix_symbol_YYYYMMDD.csv
turnover_symbol_YYYYMMDD.csv
historical_components_P002_symbol.csv
roundtrip_P002_symbol_YYYYMMDD.csv
Historical Data
Open Quotations (oqhbrbcpe.txt)
h_symbol.txt
Dividend Points
symbol_P002.txt
Reference Data
components_P002_[symbol]_[YYYYMMDD].csv
corporateactions_P002_[symbol]_[YYYYMMDD].csv
CAForecast_P002_[symbol]_[YYYYMMDD].csv
CCAForecast_P002_[symbol]_[YYYYMMDD].csv
Opening / Intraday Data
psoq_YYYYMMDD.txt
psoq[symbol]_P002_YYYYMMDD.txt
ko[symbol]_P002_YYYYMMDD.txt
intradaysnapshots_symbol_YYYYMMDD.txt
fsco[symbol]_P002_YYYYMMDD.txt
Composition Lists Opening Data (New)
opencomposition_P002_symbol_YYYYMMDD.csv
Composition Lists Closing Data (New)
closecomposition_P002_symbol_YYYYMMDD.csv

# Technical Information

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## File Mapping Sample from "P001" to "P003" for Clients with no valid SEDOL Third-Party Data license and no valid CUSIP Third Party Data license

For the convenience of our clients, STOXX provides a sample mapping between the "P001" and the new "P003" Index Files.

Index Files "P001"
General Information
stox_index_guide.pdf (Index Guide File)
STOXX_Equity_Index_Family_Benchmark_Statement.pdf (BMR File)
stox_index_family_esg_reports.csv (ESG Report)
ESG Reporting (Part of Benchmark Statement )
esg_report_symbol_YYYYMMDD.csv
ESG_regulatory_methodology_SYMBOL.pdf
Review / Rebalancing Files
mn_P001_symbol_YYYYMMDD.csv
qr_P001_symbol_YYYYMMDD.csv
dp_P001_symbol_YYYYMMDD.csv
Selection Lists
slpublic_symbol_YYYYMMDD.csv
sl_P001_symbol_YYYYMMDD.csv
psl_P001_symbol_YYYYMMDD.csv
sdd_P001_symbol_YYYYMMDD.csv
Other Information
weights_matrix_symbol_YYYYMMDD.csv
turnover_symbol_YYYYMMDD.csv
historical_components_symbol.csv
roundtrip_symbol_YYYYMMDD.csv
Historical Data
Open Quotations (oqhbrbcpe.txt)
h_symbol.txt
Dividend Points
symbol.txt
Reference Data
components_P001_[symbol]_[YYYYMMDD].csv
corporateactions_[symbol]_[YYYYMMDD].csv
CAForecast_[symbol]_[YYYYMMDD].csv
CCAForecast_P001_[symbol]_[YYYYMMDD].csv
Opening / Intraday Data
psqo_YYYYMMDD.txt
psqo[symbol]_YYYYMMDD.txt
ko[symbol]_YYYYMMDD.txt
intradaysnapshots_symbol_YYYYMMDD.txt
fsco[symbol]_YYYYMMDD.txt
Composition Lists Opening Data (New)
opencomposition_symbol_YYYYMMDD.csv
Composition Lists Closing Data (New)
closecomposition_symbol_YYYYMMDD.csv



Index Files "P003"
General Information
stox_index_guide.pdf (Index Guide File)
STOXX_Equity_Index_Family_Benchmark_Statement.pdf (BMR File)
stox_index_family_esg_reports.csv (ESG Report)
ESG Reporting (Part of Benchmark Statement )
esg_report_symbol_YYYYMMDD.csv
ESG_regulatory_methodology_SYMBOL.pdf
Review / Rebalancing Files
mn_P003_symbol_YYYYMMDD.csv
qr_P003_symbol_YYYYMMDD.csv
dp_P003_symbol_YYYYMMDD.csv
Selection Lists
slpublic_symbol_YYYYMMDD.csv
sl_P003_symbol_YYYYMMDD.csv
psl_P003_symbol_YYYYMMDD.csv
sdd_P003_symbol_YYYYMMDD.csv
Other Information
weights_matrix_symbol_YYYYMMDD.csv
turnover_symbol_YYYYMMDD.csv
historical_components_P003_symbol.csv
roundtrip_P003_symbol_YYYYMMDD.csv
Historical Data
Open Quotations (oqhbrbcpe.txt)
h_symbol.txt
Dividend Points
symbol_P003.txt
Reference Data
components_P003_[symbol]_[YYYYMMDD].csv
corporateactions_P003_[symbol]_[YYYYMMDD].csv
CAForecast_P003_[symbol]_[YYYYMMDD].csv
CCAForecast_P003_[symbol]_[YYYYMMDD].csv
Opening / Intraday Data
psqo_YYYYMMDD.txt
psqo[symbol]_P003_YYYYMMDD.txt
ko[symbol]_P003_YYYYMMDD.txt
intradaysnapshots_symbol_YYYYMMDD.txt
fsco[symbol]_P003_YYYYMMDD.txt
Composition Lists Opening Data (New)
opencomposition_P003_symbol_YYYYMMDD.csv
Composition Lists Closing Data (New)
closecomposition_P003_symbol_YYYYMMDD.csv

## Distribution of New File P002 and P003

The files will be distributed in a similar manner to the existing set of files related to P000 and P001 and available in the respective [www.stoxx.com](http://www.stoxx.com) Index specific web pages and iSFTP folders.

For further information please refer to [iSFTP Technical Guide](#) for description of files deliveries on iSFTP.

The iSFTP Technical Guide is available on [www.stoxx.com](http://www.stoxx.com) > Home > Resources > General > Technical Documentation.

For more information on the STOXX indices, please visit [www.stoxx.com](http://www.stoxx.com)

## Appendix

Extract of the CUSIP license coverage at the date of this communication and available at [CGS ISIN](#). Any ISIN codes starting with following Country are subject to CUSIP Third-Party Data License

Country	ISO Code
American Samoa	AS
Anguilla	AI
Antigua & Barbuda	AG
Aruba	AW
Bahamas	BS
Belize	BZ
Canada	CA
Bermuda	BM
Cayman Islands	KY
Dominica	DM
Grenada	GD
Guam	GU
Guyana	GY
Haiti	HT
Marshall Islands	MH
Mayotte	YT
Micronesia	FM
Northern Mariana Islands	MP
Palau	PW
Puerto Rico	PR
Saint Barthélemy	BL
Saint Kitts and Nevis	KN
Saint Lucia	LC
Saint Martin	MF
Saint Vincent and the Grenadines	VC
Saint Maarten	SX
South Georgia	GS
Suriname	SR
Trinidad & Tobago	TT
United States	US