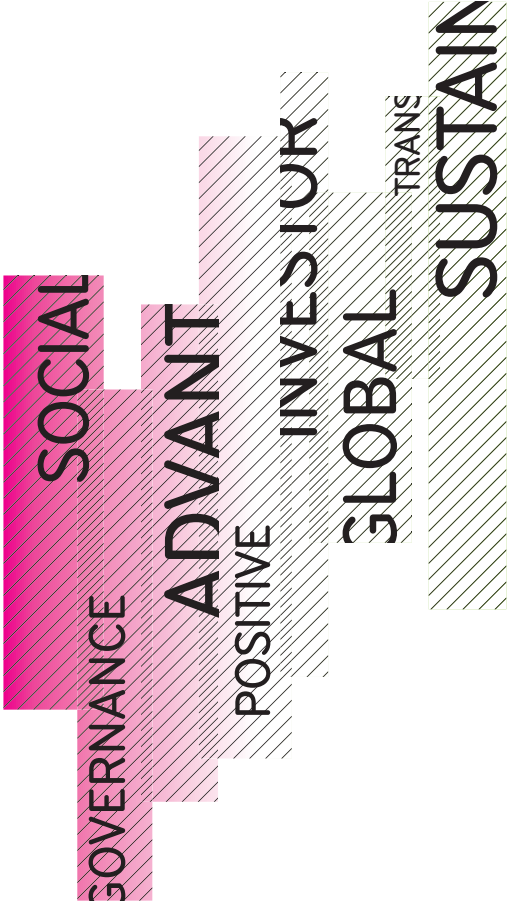


STOXX LIMITED

STOXX[®]
ESG LEADERS
INDICES.



STOXX

INTRODUCTION.

The STOXX® ESG Leaders indices provide investors access to the most socially responsible companies across five regions, based on a fully transparent selection process, using environmental, social and governance (ESG) sustainability data from our partner Sustainalytics. These eight indices, covering our global, Europe, Eurozone, North America and Asia/Pacific regions, help investors integrate ESG research into their portfolios and offer them a view that conventional research might not uncover.

The STOXX® ESG Leaders index family consists of three broader global indices, one roll-up global index and four regional blue-chip indices. STOXX's partner in the ESG space is Sustainalytics, a leading global provider of ESG research and analysis with nearly 20 years of experience in the responsible investment (RI) and socially responsible investment (SRI) markets.

THESE EIGHT INDICIES ARE:

STOXX® Europe ESG Leaders 50 index
EURO STOXX® ESG Leaders 50 index
STOXX® Asia/Pacific ESG Leaders 50 index
STOXX® North America ESG Leaders 50 index
STOXX® Global ESG Environmental Leaders index
STOXX® Global ESG Social Leaders index
STOXX® Global ESG Governance Leaders index
STOXX® Global ESG Leaders index

While the STOXX® ESG Leaders Blue-chip indices cover the largest 50 components per region in terms of free float market capitalization, the STOXX® Global ESG Leader index is a roll-up of the three specialized indices for environmental, social, and governance. This roll-up feature enables investors to adjust the definition of sustainability across the three criteria by allocating their investment across the sub-indices accordingly.

METHODOLOGY.

STEP 1

The initial index universe is the STOXX® Global 1800 index. Companies whose business involves one of the following construction weapons – anti-personnel mines, chemical weapons, biological weapons, cluster munitions, depleted uranium ammunition and nuclear weapons – are excluded. Also excluded are companies that do not comply with the UN Global Compact Compliance Principles.

To ensure the quality of the indices and the integrity of the underlying index methodology, an independent advisory committee offers advice on the ESG methodology underlying the family of indices.

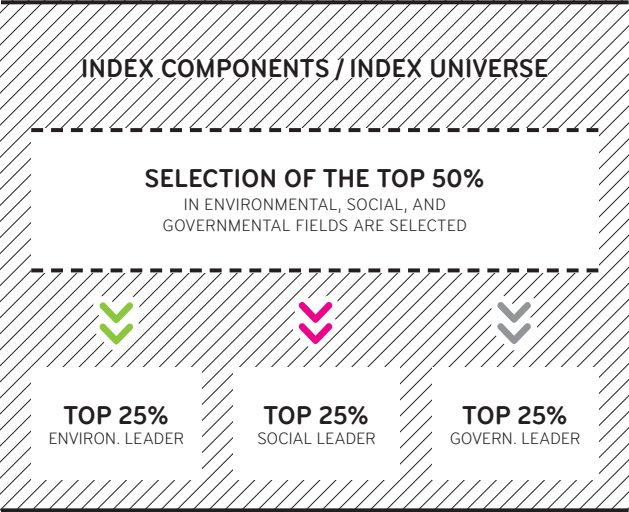
STEP 2

All companies that pass the selection criteria are ranked by STOXX according to a transparent evaluation system consisting of 128 relevant key performance indicators (KPIs) provided by Sustainalytics. The system ranges from 0 to 100 points and is applied for each category: environmental, social, and governance. To be included in one of the specialized indices, e.g. the STOXX® Global ESG Social Leaders index, companies must receive a rating of at least 75 in that category and at least 50 in the other two (governance, environmental). The index components are weighted according to their ESG-rating.

The overall STOXX® Global ESG Leaders index is an aggregation of the three sub-indices STOXX® Global ESG Social Leaders, STOXX® Global ESG Environmental Leaders, and STOXX® Global ESG Governance Leaders indices.

For the Blue-chip indices, the 50 largest stocks by free float market capitalization are selected, which are part of the STOXX® Global ESG Leaders index as well as part of the respective regional broad index. For the EURO STOXX® ESG Leaders 50 index it means that the 50 largest joint components of the EURO STOXX® and the STOXX® Global ESG Leader indices. The weighting is based on the ESG overall-rating, which is constructed by equal weighting the individual ratings.

SELECTION SCHEME



Example selection:

COMPANY	RATING E	RATING S	RATING G	STATUS
A	60.3	27.2 _x	70.4	exclusion
B	50.1	90.3	82.7	inclusion in S and G
C	39.2 _x	50.4	17.2 _x	exclusion

INDEX PERFORMANCE



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Useful links:

www.stoxx.com/indices/types/sustainability.html

www.boerse-frankfurt.de/en/sustainable+securities

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